Midterm 1 Review Solutions

Exercise 1. We can represent a counter-clockwise rotation by an angle θ about an axis \hat{r} by the unitary operator

$$U(\theta) = e^{-i\theta \hat{r} \cdot \mathbf{S}/\hbar},$$

where S is the angular momentum operator. For particles of spin 1/2, $S = \hbar \sigma / 2$.

a) Show that $(\hat{r} \cdot \sigma)^2 = 1$, the identity operator. *Hint*: Using $[\sigma_i, \sigma_j] = 2i\varepsilon_{ijk}\sigma_k$ and $\{\sigma_i, \sigma_j\} = 2\delta_{ij}1$, show first that

$$\sigma_i \sigma_j = \mathbb{1}\delta_{ij} + i\varepsilon_{ijk}\sigma_k.$$

b) Show that

$$U(\theta) = 1\cos(\theta/2) - i\hat{r} \cdot \boldsymbol{\sigma}\sin(\theta/2).$$

- c) Determine the spin operator σ_{θ} which points in the direction described by (θ, φ) with $\varphi = 0$. *Hint*: Do this by rotating σ_z by an angle θ about the *y*-axis.
- d) Redo problem 4.59 from Griffiths: If two electrons are in the spin singlet state, $S_z^{(1)}$ is the component of spin angular momentum of particle 1 along the *z*-axis, and $S_{\theta}^{(2)}$ is the spin angular momentum of particle 2 along the $\hat{r} = (\theta, 0)$ axis, show that

$$\left\langle S_z^{(1)} S_\theta^{(2)} \right\rangle = -\frac{\hbar^2}{4} \cos \theta.$$

a) Add the commutator to the anti-commutator to get the relation given in the hint. Now,

$$(\hat{r} \cdot \boldsymbol{\sigma})^2 = r_i \sigma_i r_j \sigma_j = r_i r_j (\mathbb{1}\delta_{ij} + i\varepsilon_{ijk}\sigma_k) = \mathbb{1},$$

since $\hat{r}^2 = \sum r_i^2 = 1$ and ε_{ijk} is antisymmetric under interchange of indices, while $r_i r_j$ is symmetric.

b) Write

$$U(\theta) = e^{-i\theta\hat{r}\cdot\mathbf{S}/\hbar},$$

and expand the exponential as a power series:

$$U(\theta) = \sum_{n=0}^{\infty} \frac{(-i\theta/2)^n (\hat{r} \cdot \sigma)^n}{n!}$$

= $\sum_{k=0}^{\infty} \frac{(-i\theta/2)^{2k}}{(2k)!} \mathbb{1} + \sum_{k=0}^{\infty} \frac{(-i\theta/2)^{2k+1}}{(2k+1)!} \hat{r} \cdot \sigma$
= $\mathbb{1} \cos(\theta/2) - i\hat{r} \cdot \sigma \sin(\theta/2),$

where we use the power series for sine and cosine in the final line (and note that $(-i)^{2k} = (-1)^k$ and $(-i)^{2k+1} = -i(-1)^k$).

c) We have determined a nice form for the operator $U(\theta)$. Setting $\hat{r} = \hat{y}$, we have

$$U_y(\theta) = 1 \cos(\theta/2) - i\sigma_y \sin(\theta/2)$$

A coordinate transformation which corresponds to $U_y(\theta)$ will transform operators written in the original basis by

$$A \mapsto U_y(\theta)^{\dagger} A U_y(\theta).$$

Thus, we have

$$\begin{aligned} \sigma_{\theta} &= U_{y}(\theta)^{\dagger} \sigma_{z} U_{y}(\theta) \\ &= \sigma_{z} \cos^{2}(\theta/2) - i [\sigma_{z}, \sigma_{y}] \sin(\theta/2) \cos(\theta/2) + \sigma_{y} \sigma_{z} \sigma_{y} \sin^{2}(\theta/2) \\ &= \sigma_{z} [\cos^{2}(\theta/2) - \sin^{2}(\theta/2)] - 2\sigma_{x} \sin(\theta/2) \cos(\theta/2) \\ &= \sigma_{z} \cos \theta - \sigma_{x} \sin \theta \\ &= \begin{bmatrix} \cos \theta & -\sin \theta \\ -\sin \theta & -\cos \theta \end{bmatrix}. \end{aligned}$$

d) Our spin state is

$$\frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle-|\downarrow\uparrow\rangle).$$

It's easiest to figure out what to do if we write this as vectors:

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$$\frac{1}{\sqrt{2}} \left(\begin{bmatrix} 1\\0 \end{bmatrix} \otimes \begin{bmatrix} 0\\1 \end{bmatrix} - \begin{bmatrix} 0\\1 \end{bmatrix} \otimes \begin{bmatrix} 1\\0 \end{bmatrix} \right).$$

Thus, we get

$$\begin{pmatrix} S_z^{(1)} S_\theta^{(2)} \end{pmatrix} = \frac{\hbar^2}{8} \left(\begin{bmatrix} 1 & 0 \end{bmatrix} \otimes \begin{bmatrix} 0 & 1 \end{bmatrix} - \begin{bmatrix} 0 & 1 \end{bmatrix} \otimes \begin{bmatrix} 1 & 0 \end{bmatrix} \right) \left(\begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} -\sin \theta \\ -\cos \theta \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} \cos \theta \\ -\sin \theta \end{bmatrix} \right)$$
$$= \frac{\hbar^2}{8} \left(-\cos \theta - \cos \theta \right)$$
$$= -\frac{\hbar^2}{4} \cos \theta.$$

Exercise 2. *Griffiths 5.9.* Consider two non-interacting particles in an infinite square well of width *a* such that the single particle wavefunction is

$$\psi_n(x) = \sqrt{\frac{2}{a}} \sin(n\pi x/a)$$

with energy $E_n = n^2 K$. Construct the ground state and first excited state of the two-particle system if the particles are a) spin-1/2 and b) spin-1. Determine the energy and degeneracies of these states.

The solution to this problem is in the Week 3 Worksheet Solutions.

Exercise 3. Helium.

- a) Consider a singly-ionized helium ion. How much more energy does it take to ionize its bound electron compared to hydrogen?
- b) Still with He⁺. What is the wavelength of the emitted photon during the electron transition from $n = 2 \rightarrow 1$?
- c) Now, consider the usual helium-4. Which ground state has higher energy, parahelium (spin singlet) or orthohelium (spin triplet)? Why? *Griffiths 5.14.* How would this change if the two electrons are identical bosons?
- d) Griffiths 5.22. Helium-3 is a fermion with spin-1/2 (as compared to helium-4, which is a boson. Why?). At low temperatures, helium-3 can be treated as a Fermi gas. If its mass density is 82 kg/m³, determine its Fermi temperature.
- a) The only difference between singly-ionized helium and hydrogen is the number of protons. The energy levels for hydrogen-like atoms come from a potential in the Schrödinger equation which is proportional to Ze^2 . Now, we have to remember that in hydrogen the energy levels go as Z^2 . Explicitly,

$$E_n = -\frac{1}{2} \frac{\alpha^2 Z^2 m c^2}{n^2} \\ = -E_0 \frac{Z^2}{n^2},$$

where $E_0 = 13.6$ eV. Thus, helium-3 is 4 times harder to ionize than hydrogen.

b) Recall that E = pc for light; hence,

$$E = \frac{hc}{\lambda}$$

Since $E_2 - E_1 = 3E_0$, we have

$$\lambda = \frac{hc}{3E_0}.$$

Since $hc = 1240 \text{ eV} \cdot \text{nm}$, we have

 $\lambda \approx 30$ nm.

- c) Since the triplet is symmetric, the spatial wavefunction which is associated to orthohelium must be antisymmetric. Since the lowest energy state is symmetric (both electrons in n = 1), it follows that the triplet has higher energy than the singlet. If they were both bosons, then we'd have the opposite.
- d) Helium-3 is a fermion because it has an odd number of fermions. The Fermi energy is given by (make sure you can derive this!)

$$E_F = \frac{\pi^2 \hbar^2}{2m} \left(\frac{3n}{\pi}\right)^{2/3},$$

where n = N/V is the number density. Now, $mn = \rho$ and $E_F = kT_F$, where k is Boltzmann's constant, so

$$T_F = \frac{\hbar^2}{2} \left(\frac{3\rho\pi^2}{m^{5/2}}\right)^{2/3}.$$

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We can now plug in numbers:

$$T_F \approx 4 \text{ K}.$$

Exercise 4. Consider a transformation on a physical system represented by a unitary operator U.

- a) How do kets transform under U? What about operators?
- b) If the hamiltonian H commutes with U, what does that imply about H being invariant under the transformation U? What does this imply about a non-degenerate eigenstate of H?
- c) Derive parity selection rules for hydrogen with respect to momentum and angular momentum matrix elements. I.e. determine when

$$\langle n'l'm'|\mathbf{p}|nlm\rangle = 0$$

and

$$\langle n'l'm'|\mathbf{L}|nlm\rangle = 0.$$

a) Kets just transform as

 $|\psi\rangle \mapsto U |\psi\rangle.$

This question was intentionally ambiguous! If we consider passive transformations, then operators transform as

$$A \mapsto U^{\dagger}AU.$$

However, if we only consider active transformations and want to force $\langle A \rangle$ to be unchanged after action by U, then we want to send

$$A \mapsto UAU^{\dagger}.$$

Indeed, since

$$\langle A \rangle = \langle \psi | A | \psi \rangle,$$

we have

$$\langle A \rangle \mapsto \langle \psi | U^{\dagger} A' U | \psi \rangle$$

so we need

$$A' = UAU^{\dagger}.$$

b) If the hamiltonian commutes with the symmetry U, then that means

$$UH = HU \implies UHU^{\dagger} = H.$$

Thus, if we have a nondegenerate eigenket of H with

$$H |\psi\rangle = \lambda |\psi\rangle$$

then

$$HU \ket{\psi} = UH \ket{\psi} = U\lambda \ket{\psi} = \lambda U \ket{\psi},$$

so that $U | \psi \rangle$ is also an eigenket of H.

c) We know that under parity $\hat{x} \mapsto -\hat{x}$, where \hat{x} denotes the position operator. Indeed, since an operator is determined by its action on a basis, we can take the basis $\{|x\rangle\}$ and consider the action of $\pi \hat{x} \pi$ on it.

$$\pi \hat{x} \pi |x\rangle = \pi \hat{x} |-x\rangle = -x |x\rangle,$$

so we must have $\pi \hat{x} \pi = -\hat{x}$. Similarly, momentum is odd under parity (dropping all the hats now):

$$[x,\pi p\pi] = x\pi p\pi - \pi p\pi x = -\pi xp\pi + \pi px\pi = -\pi [x,p]\pi = -i\hbar,$$

so we must have $\pi p\pi = -p$. Now, we can write

$$\langle n'l'm'|\mathbf{p}|nlm\rangle = \langle n'l'm'|\pi\pi\mathbf{p}\pi\pi|nlm\rangle = -(-1)^{l+l'}\langle n'l'm'|\mathbf{p}|nlm\rangle.$$

Thus, this is exactly 0 for even values of l + l'. Similarly, we have

$$\left\langle n'l'm'|\mathbf{L}|nlm\right\rangle = (-1)^{l+l'}\left\langle n'l'm'|\mathbf{L}|nlm\right\rangle,$$

which is 0 for odd values of l + l', since $\mathbf{L} = \mathbf{x} \times \mathbf{p}$ is even under parity (since both \mathbf{x} and \mathbf{p} are odd).

Exercise 5. Dilations. Do Exercise 2 on the Week 5 Worksheet: Another symmetry is called **dilation** symmetry. Dilations are given by the transformation $\mathbf{x} \to \mathbf{x}' = e^c \mathbf{x}$, where $c \in \mathbb{R}$. Call its generator D, so that e^{-icD} is the corresponding unitary operator.

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Remark. In conformal field theory, the convention is to absorb the factor of *i* into *D*, so that e^{-cD} is the dilation operator.

a) Show that the *infinitesimal* transformation

$$e^{i\mathbf{a}\cdot\mathbf{p}}e^{icD}e^{-i\mathbf{a}\cdot\mathbf{p}}e^{-icD}$$

is given by $1 + c\mathbf{a} \cdot [D, \mathbf{p}]$.

Hints: You can reduce to the situation where all the vectors are 1-dimensional (why?). There's a slick way to do this (use the Baker-Campbell-Hausdorff formula), but the brute force method does work.

b) Calculate $[D, \mathbf{p}]$.

Hint: What coordinate transformation does the above correspond to? In other words, if you write it in the form $\mathbf{x} \to \mathbf{x}'$, what is \mathbf{x}' ?

a) You could write out all of these exponentials out to second order in *a* and *c* (note that it's easier to work in 1 dimension for this whole problem). Another (slicker) way to get the same answer is to use the Baker-Campbell-Hausdorff formula, which says that given any two operators *X* and *Y*, we have

$$e^X e^Y = e^Z,$$

where

$$Z = X + Y + \frac{1}{2}[X, Y] + \cdots$$

The ellipsis above denotes third and higher order terms in X and Y, which we can ignore. Thus, use BCH on

$$e^{-iap}e^{-icD} = \exp\left(-iap - icD + \frac{ac}{2}[D, p] + \cdots\right).$$

Then, use it on

$$e^{iap}e^{icD} = \exp\left(iap + icD + \frac{ac}{2}[D, p] + \cdots\right).$$

Finally, use it on the product to get

$$e^{iap}e^{icD}e^{-iap}e^{-icD} = \exp(ac[D, p] + \cdots).$$

Now, expand out the exponential to get the answer:

$$1 + ac[D, p].$$

The same argument works in 3 dimensions by linearity, so we're done.

b) Note that the operation on the space that corresponds to the transformation given in (a) is:

$$\mathbf{x} \mapsto e^{c} \mathbf{x} \mapsto e^{c} \mathbf{x} + \mathbf{a} \mapsto \mathbf{x} + e^{-c} \mathbf{a} \mapsto \mathbf{x} + (e^{-c} - 1) \mathbf{a}$$

Expanding the final term out to second order (since we went to second order in part (a)), we get

$$\mathbf{x} \mapsto \mathbf{x} - c\mathbf{a}$$

By (a), the infinitesimal transformation which corresponds to this is exactly $1 + c\mathbf{a} \cdot [D, \mathbf{p}]$. Since \mathbf{p} is the generator of translations, we see that in order to generate a translation $\mathbf{x} \mapsto \mathbf{x} - c\mathbf{a}$, we need to take $[D, \mathbf{p}] = -\mathbf{p}$. If we had defined D to be the generator such that e^{-cD} is the corresponding unitary operator, then we get instead

$$[D,\mathbf{p}]=i\mathbf{p},$$

and this is how it's usually done in conformal field theory.